

ETHICS PROGRAM REVIEW FOLLOW-UP REPORT

Agency: National Archives and Records Administration

Follow-up to OGE Report Number: 21-49I

Report No.: 22-19IF

Date: February 11, 2022

UNITED STATES OFFICE OF
GOVERNMENT ETHICSPreventing Conflicts of Interest
in the Executive Branch

As a result of its review of the National Archives and Records Administration (NARA) ethics program, the Office of Government Ethics (OGE) issued three recommendations in its September 2021 review report. OGE conducted a follow-up review to assess whether NARA has taken sufficient action to resolve the deficiencies underlying these recommendations. The results of the follow-up review are summarized below.

	Recommendation	Agency Action and OGE Finding	Status
1	Ensure that new entrant confidential financial disclosure reports are certified timely.	The compliance due date for recommendations 1 and 3 is March 31, 2022. OGE will conduct a second follow-up inspection at that time.	Pending
2	Establish written procedures for issuing ethics notices to new supervisors	NARA published detailed written procedures for issuing ethics notices to new supervisors on January 10, 2022. The procedures cover how the notices will be issued, the content of the notices, and deadlines for notification.	Closed
3	Certify all SGE financial disclosure reports timely, as required.	The compliance due date for recommendations 1 and 3 is March 31, 2022. OGE will conduct a second follow-up inspection at that time.	Pending

Based on the results of OGE's follow-up review, recommendation 2 is closed.