

ETHICS PROGRAM REVIEW FOLLOW-UP REPORT

Agency: U.S. Federal Labor Relations Authority

Follow-up to OGE Report Number: 19-35I

Report No.: 21-36IF

Date: July 29, 2021

**UNITED STATES OFFICE OF
GOVERNMENT ETHICS**Preventing Conflicts of Interest
in the Executive Branch

As a result of its review of the U.S. Federal Labor Relations Authority (FLRA) ethics program, the Office of Government Ethics (OGE) issued three recommendations in its September 2019 inspection report. OGE conducted a follow-up review to assess whether the FLRA has taken sufficient action to resolve the deficiencies underlying these recommendations. The results of the follow-up review are summarized below.

	Recommendation	Agency Action and OGE Finding	Status
1	Ensure that all PAS officials receive timely annual ethics training.	All FLRA PAS employees received timely annual ethics training in 2020.	Closed
2	Require returning SGEs to file new entrant financial disclosure reports, rather than annual reports.	OGE examined the 16 confidential financial disclosure reports required to be filed by SGEs in 2021. Twelve of the reports were filed as “annual” vs “new entrant” reports. The ADAEO stated that, in the future, she will make sure all FLRA SGEs correctly indicate that they are new entrant vs annual filers. It is important that filers submit reports based on the correct filing status. The filing status determines the reporting period that must be covered.	Open
3	Ensure that all SGEs receive timely ethics training.	All SGEs received timely AET in 2020. Note: according to the ADAEO, newly appointed SGEs are given one on one initial ethics training before their first board meeting. Thereafter, SGEs are required to attend FLRA annual ethics training which is held once a year in November.	Closed

Based on the results of OGE’s follow-up review, recommendations 1 and 3 are closed. OGE will conduct an additional follow-up review to assess whether the FLRA has taken sufficient action to ensure SGE filers use the new entrant vs annual status on confidential financial disclosure reports.