

**ETHICS PROGRAM REVIEW FOLLOW-UP REPORT**

Agency: Commodity Futures Trading Commission (CFTC)

Follow-up to OGE Report Number: 20-46

Report No.: 22-30F

Date: May 13, 2022

UNITED STATES OFFICE OF  
**GOVERNMENT ETHICS**Preventing Conflicts of Interest  
in the Executive Branch

As a result of its review of the Commodity Futures Trading Commission (CFTC) ethics program, the Office of Government Ethics (OGE) issued one recommendation in its September 2020 review report. OGE conducted a follow-up review to assess whether CFTC has taken sufficient action to resolve the deficiencies underlying the recommendation. The results of the follow-up review are summarized below.

	Recommendation	Agency Action and OGE Finding	Status
1	Timely review and certify public financial disclosure reports.	OGE examined a sample of 25 public financial disclosure reports filed at CFTC during calendar year 2021. All 25 were certified timely.	Closed

Based on the results of OGE's follow-up review, the recommendation is closed.