

**ETHICS PROGRAM REVIEW FOLLOW-UP REPORT**

Agency: U.S. Department of Transportation (DOT)

Follow-up to OGE Report Number: 20-47

Report No.: 23-13F

Date: February 13, 2023

**UNITED STATES OFFICE OF  
GOVERNMENT ETHICS**  
Preventing Conflicts of Interest  
in the Executive Branch

As a result of its review of DOT's ethics program, the Office of Government Ethics (OGE) issued two recommendations in its September 2020 review report. OGE conducted a follow-up review to assess whether DOT has taken sufficient action to resolve the deficiencies underlying these recommendations. The results of the follow-up review are summarized below.

	Recommendation	Agency Action and OGE Finding	Status
1	Ensure that all prospective employees receive the required notice of the ethical obligations associated with the position for which they are being considered.	DOT's Office of Human Resource Management and the Executive Political Resources Center provides all prospective appointees written notice of the ethical obligations associated with the position for which they are being considered.  DOT provided ten recent written notices sent to different categories of incoming employees. OGE analyzed these notices and found that each notice contained all required ethics-related content.	Closed
2	Ensure that all employees required to receive annual training are provided with written copies of or written instructions for accessing materials that fully meet content requirements.	In 2022, The Office of the Secretary of Transportation (OST) provided all employees required to receive annual ethics training with a summary of the Standards of Conduct distributed by OGE. A link to this material was provided in three separate emails examined by OGE: an Annual Ethics Training Announcement, Annual Ethics Training Meeting Invitations [to specific training sessions], and in a follow-up email sent post-training.  Each email also contained instructions for contacting ethics officials, and therefore fully met content requirements.	Closed

Based on the results of OGE's follow-up review, all recommendations are closed.