



United States
Office of Government Ethics
1201 New York Avenue, NW., Suite 500
Washington, DC 20005-3917

APR 23 2014

The Honorable Ander Crenshaw
Chairman
Subcommittee on Financial Services
And General Government
Committee on Appropriations
United States House of Representatives
B-300 Rayburn House Office Building
Washington, DC 20515

Dear Mr. Chairman:

This responds to your May 7, 2013, letter inquiring about the U.S. Office of Government Ethics' (OGE) efforts to implement the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) (Pub. L.112-105, as amended), and is OGE's fourth quarterly spending report on activities related to developing the electronic financial disclosure filing system (e-filing) required by Section 11(b) of the Act.

During the period since OGE provided you with its third quarterly report on January 23, 2014, we have continued to work diligently to develop the system. From January through March 2014, the contractors completed three more significant development phases. Most importantly, the system has nearly complete filer functionality, which allowed us to conduct agency focus group testing in January, February and March 2014. The contractors have now moved into developing reviewer functionality, and we anticipate that system development activities generally will move forward at a more brisk pace.

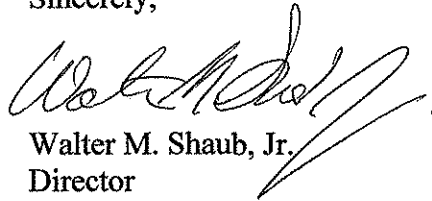
The table below captures the expenditures associated with the described activities through the second quarter of fiscal year 2014.

Activity	January (in thousands)	February (in thousands)	March (in thousands)	Total (in thousands)
Architecture	\$53	\$49	\$31	\$133
Engineering	\$118	\$107	\$127	\$352
Project Management	\$23	\$20	\$20	\$63
Requirements Analysis	\$35	\$32	\$35	\$102
Security	\$2	\$0	\$0	\$2
Shared Infrastructure & Services	\$6	\$23	\$33	\$62
User Experience	\$15	\$13	\$2	\$30
Quality Assurance ¹	\$0	\$0	\$7	\$7
Total for Quarter				\$751
Cumulative Total				\$4,261

¹ The contractor included Quality Assurance (QA) work in the Shared Infrastructure & Services category while attempting to hire a dedicated QA resource.

OGE will continue to provide quarterly spending reports on the development and implementation of the e-filing system mandated by the STOCK Act. Please feel free to contact Shelley K. Finlayson, Program Counsel, at (202) 482-9314, if you require additional information.

Sincerely,

A handwritten signature in black ink, appearing to read "Walter M. Shaub, Jr.", with a long, sweeping flourish extending to the right.

Walter M. Shaub, Jr.
Director