

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



February 28, 2017

Henry C. Shelley, Jr.
General Counsel and Designated
Agency Ethics Official
Office of Inspector General
Department of Defense
4800 Mark Center Drive, Suite 15K26
Alexandria, VA 22350-1500

Dear Mr. Shelley:

As a result of its review of the Department of Defense Office of Inspector General's (DoD OIG) ethics program, the Office of Government Ethics (OGE) issued two recommendations in its March 2016 review report. OGE closed one of the recommendations during its August 2016 follow-up review. OGE recently conducted a second follow-up review to assess whether DoD OIG has taken action to resolve or sufficiently mitigate the deficiencies underlying the remaining recommendation. The results of the follow-up review are summarized below.

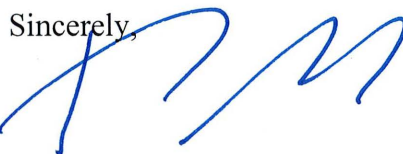
	Recommendation	Agency Action and OGE Findings	Status
2	Implement corrective action to ensure that new entrant confidential financial disclosure reports are filed timely in accordance with 5 C.F.R. § 2634.903(b).	Ethics officials now receive Entry-on-Duty lists containing the names of new employees and their positions the week before the new employees are on-boarded. This list indicates whether a new employee is a supervisor. The list also includes employees who were promoted into supervisory positions. (DoD OIG supervisors are confidential filers.) Additionally, once a month, ethics officials receive an updated list of Contracting Officer Representatives and Government Purchase Card holders from various offices within the Financial Management Office. These employees are also confidential filers.	Closed

Report No. 17-13IF



		<p>Further, ethics officials are occasionally notified by a senior executive or delegate that specified individuals have been designated as confidential filers because of a particular assignment which includes duties meeting the filing criteria. Ethics officials then immediately enter the appropriate new employees' information into the electronic filing system to ensure that they file new entrant confidential reports.</p> <p>OGE examined 14 new entrant confidential reports filed by employees from July-December 2016. All the reports were timely filed.</p>	
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I appreciate the courtesies extended to the OGE program review staff. If you would like to discuss the report, please contact me at 202-482-9224.

Sincerely,


Dale Christopher
Deputy Director for Compliance