

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



December 23, 2015

Margaret Doane
General Counsel and
Designated Agency Ethics Official
Nuclear Regulatory Commission
11555 Rockville Pike
Rockville, MD 20852

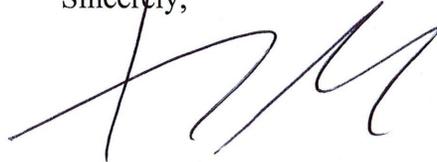
Dear Ms. Doane:

As a result of its review of the Nuclear Regulatory Commission (NRC) ethics program, the United States Office of Government Ethics (OGE) issued 13 recommendations in its November 2014 program review report. During August and September 2015, OGE conducted a follow-up review to assess the implementation of the recommendations that resulted in 11 recommendations being closed. OGE conducted a second follow-up in December 2015 to evaluate the actions NRC has taken to address the remaining two open recommendations. Based on NRC's actions, OGE is closing both recommendations. The table below summarizes the results of OGE's second follow-up review.

| | Recommendation | Agency Action | Status |
|---|---|---|--------|
| 3 | Purge any existing public financial disclosure reports that have been kept beyond the retention period. | NRC destroyed all public financial disclosure reports that exceeded the retention period. In response to OGE's recommendation, NRC developed software to identify and delete all electronic public financial disclosure reports that have reached the end of the retention period. | Closed |
| 7 | Purge any existing confidential financial disclosure reports that have been kept beyond the retention period. | NRC destroyed all confidential financial disclosure reports that exceeded the retention period. In response to OGE's recommendation, NRC developed software to identify and delete all electronic confidential financial disclosure reports that have reached the end of the retention period. | Closed |

Thank you for your assistance during the follow-up process. We encourage you to contact your OGE Desk Officer for ethics program support.

Sincerely,

A handwritten signature in black ink, appearing to be 'Dale Christopher', written in a cursive style.

Dale Christopher
Deputy Director for Compliance